

# DSP-First, 2/e

## LECTURE #3 Complex Exponentials & Complex Numbers

# READING ASSIGNMENTS

- This Lecture:
  - Chapter 2, Sects. 2-3 to 2-5
- Appendix A: Complex Numbers
  - Appendix B: MATLAB
  - Next Lecture: Complex Exponentials

Aug 2016

© 2003-2016, JH McClellan & RW Schafer

3

## LECTURE OBJECTIVES

- Introduce more tools for manipulating complex numbers
  - Conjugate
  - Multiplication & Division
  - Powers
  - N-th Roots of unity

$$\text{For } z = e^{j2\pi k/N}, \quad z^N = 1$$

Aug 2016

© 2003-2016, JH McClellan & RW Schafer

4

## LECTURE OBJECTIVES

- Phasors = Complex Amplitude
  - Complex Numbers **represent** Sinusoids

$$A \cos(\omega t + \varphi) = \Re\{(Ae^{j\varphi})e^{j\omega t}\}$$

- *Next Lecture: Develop the ABSTRACTION:*
  - Adding Sinusoids = Complex Addition
  - **PHASOR ADDITION THEOREM**

Aug 2016

© 2003-2016, JH McClellan & RW Schafer

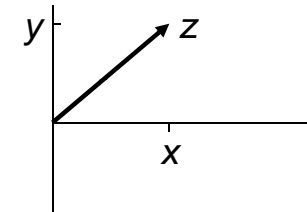
5

# WHY? What do we gain?

- Sinusoids are the basis of DSP,
  - but trig identities are very tedious
- Abstraction of complex numbers
  - Represent cosine functions
  - Can replace most trigonometry with algebra
- **Avoid all Trigonometric manipulations**

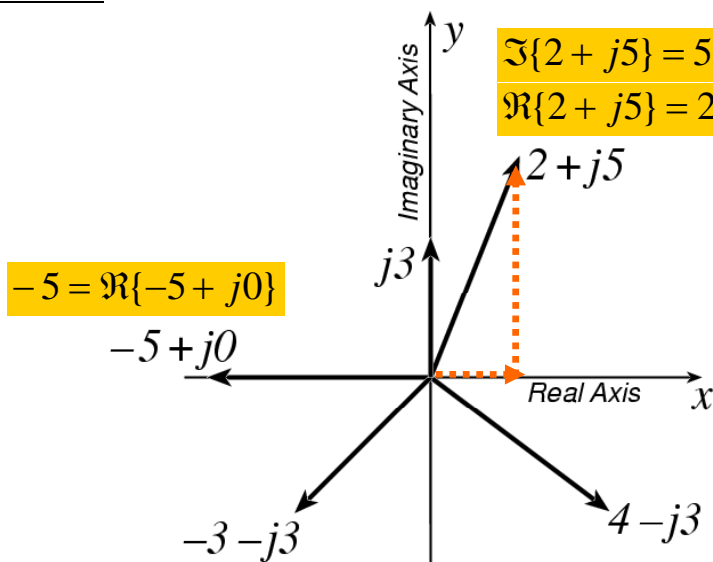
# COMPLEX NUMBERS

- To solve:  $z^2 = -1$ 
  - $z = j$
  - Math and Physics use  $z = i$
- Complex number:  $z = x + jy$



Cartesian coordinate system

# PLOT COMPLEX NUMBERS



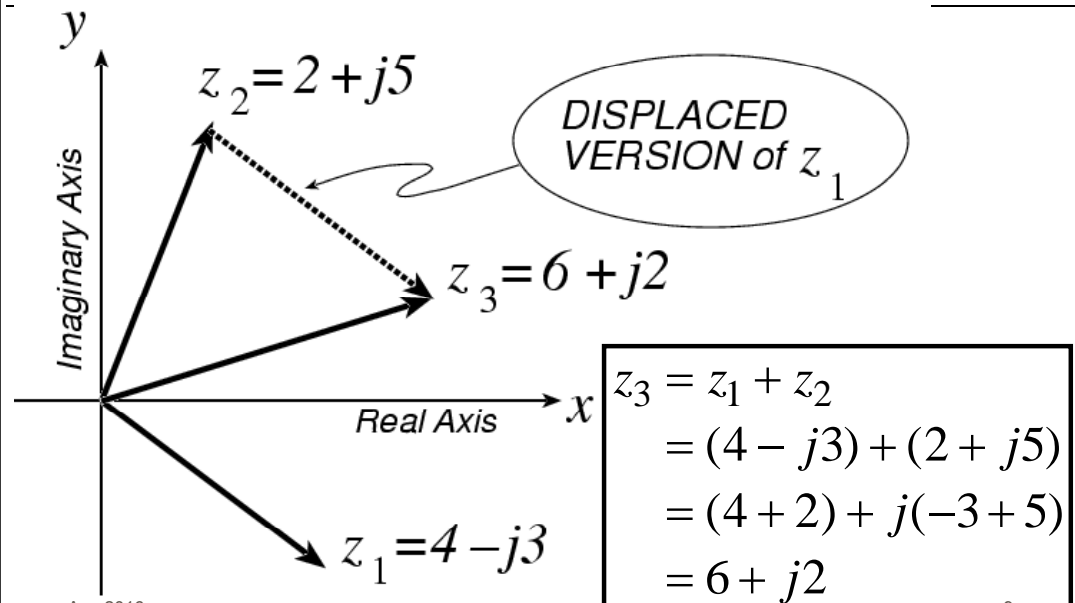
Real part:

$$x = \Re\{z\}$$

Imaginary part:

$$y = \Im\{z\}$$

# COMPLEX ADDITION = VECTOR Addition



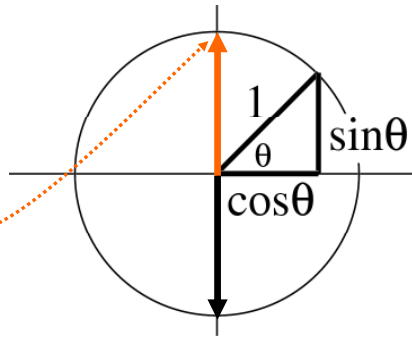
# \*\*\* POLAR FORM \*\*\*

## Vector Form

- Length = 1
- Angle =  $\theta$

## Common Values

- $j$  has angle of  $0.5\pi$
- $-1$  has angle of  $\pi$
- $-j$  has angle of  $1.5\pi$
- also, angle of  $-j$  could be  $-0.5\pi = 1.5\pi - 2\pi$
- because the PHASE is **AMBIGUOUS**

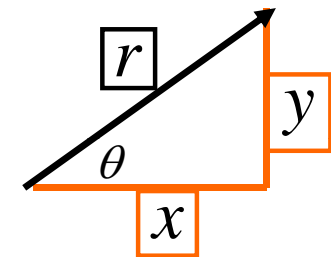


# POLAR <--> RECTANGULAR

- Relate  $(x,y)$  to  $(r,\theta)$

$$r^2 = x^2 + y^2$$

$$\theta = \text{Tan}^{-1}\left(\frac{y}{x}\right)$$



Most calculators do  
Polar-Rectangular

$$x = r \cos \theta$$

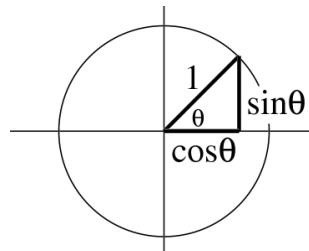
$$y = r \sin \theta$$

**Need a notation for POLAR FORM**

# Euler's FORMULA

## Complex Exponential

- Real part is cosine
- Imaginary part is sine
- Magnitude is one



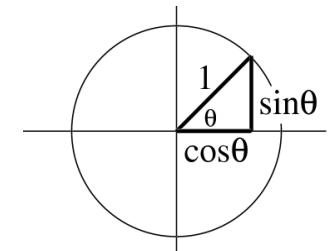
$$e^{j\theta} = \cos(\theta) + j \sin(\theta)$$

$$re^{j\theta} = r \cos(\theta) + jr \sin(\theta)$$

# Cosine = Real Part

## Complex Exponential

- Real part is cosine
- Imaginary part is sine



$$re^{j\theta} = r \cos(\theta) + jr \sin(\theta)$$

$$\Re\{re^{j\theta}\} = r \cos(\theta)$$

# Common Values of $\exp(j\theta)$

- Changing the angle

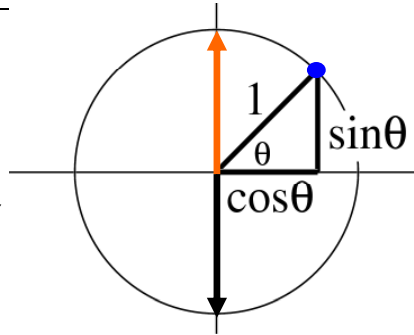
$$\theta = 0 \rightarrow 1 = 1 + j0 = e^{j0} = e^{j2n\pi}$$

$$\theta = \pi \rightarrow -1 = -1 + j0 = e^{j\pi} = e^{j(2n+1)\pi}$$

$$\theta = \pi/2 \rightarrow j = e^{j\pi/2} = e^{j(2n+1/2)\pi}$$

$$\theta = 3\pi/2 \rightarrow -j = e^{j3\pi/2} = e^{-j\pi/2} = e^{j(2n-1/2)\pi}$$

$$1 \pm j = \sqrt{2}e^{\pm j\pi/4} \quad \pm 1 + j = ?$$

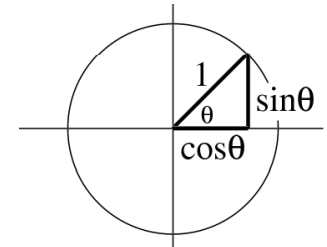


# COMPLEX EXPONENTIAL

$$e^{j\omega t} = \cos(\omega t) + j \sin(\omega t)$$

- Interpret this as a **Rotating Vector**

- $\theta = \omega t$
- Angle changes vs. time
- ex:  $\omega = 20\pi$  rad/s
- Rotates  $0.2\pi$  in 0.01 secs



$$e^{j\theta} = \cos(\theta) + j \sin(\theta)$$

# Cos = REAL PART

Real Part of Euler's

$$\cos(\omega t) = \Re\{e^{j\omega t}\}$$

General Sinusoid

$$x(t) = A \cos(\omega t + \varphi)$$

So,

$$A \cos(\omega t + \varphi) = \Re\{Ae^{j(\omega t + \varphi)}\} \\ = \Re\{Ae^{j\varphi} e^{j\omega t}\}$$

# COMPLEX AMPLITUDE

General Sinusoid

$$x(t) = A \cos(\omega t + \varphi) = \Re\{Ae^{j\varphi} e^{j\omega t}\}$$

Sinusoid = REAL PART of complex exp:  $z(t) = (Ae^{j\varphi})e^{j\omega t}$

$$x(t) = \Re\{Xe^{j\omega t}\} = \Re\{z(t)\}$$

**Complex AMPLITUDE = X, which is a constant**

$$X = Ae^{j\varphi} \quad \text{when } z(t) = Xe^{j\omega t}$$

## POP QUIZ: Complex Amp

- Find the COMPLEX AMPLITUDE for:

$$x(t) = \sqrt{3} \cos(77\pi t + 0.5\pi)$$

- Use EULER's FORMULA:

$$\begin{aligned} x(t) &= \Re\{\sqrt{3}e^{j(77\pi t + 0.5\pi)}\} \\ &= \Re\{\sqrt{3}e^{j0.5\pi} e^{j77\pi t}\} \end{aligned}$$

$$X = \sqrt{3}e^{j0.5\pi}$$

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

18

## POP QUIZ-2: Complex Amp

- Determine the 60-Hz sinusoid whose COMPLEX AMPLITUDE is:

$$X = \sqrt{3} + j3$$

- Convert  $X$  to POLAR:

$$\begin{aligned} x(t) &= \Re\{(\sqrt{3} + j3)e^{j(120\pi t)}\} \\ &= \Re\{\sqrt{12}e^{j\pi/3} e^{j120\pi t}\} \end{aligned}$$

$$\Rightarrow x(t) = \sqrt{12} \cos(120\pi t + \pi/3)$$

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

19

## COMPLEX CONJUGATE ( $z^*$ )

- Useful concept: change the sign of **all  $j$ 's**
- RECTANGULAR**: If  $z = x + jy$ , then the complex conjugate is  $z^* = x - jy$
- POLAR**: Magnitude is the same but angle has sign change

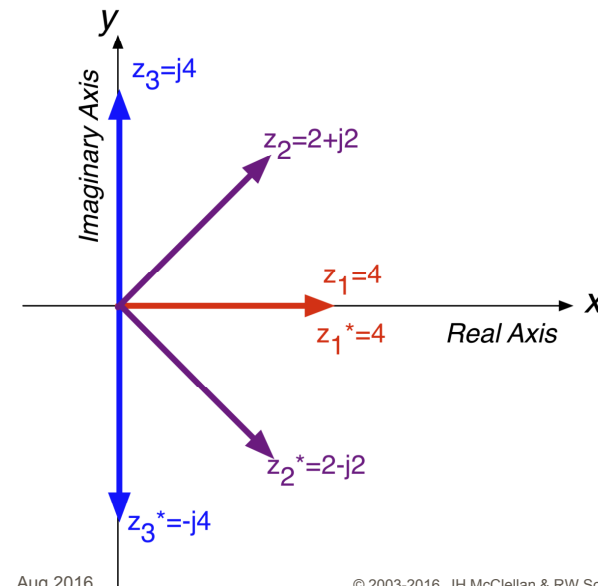
$$z = re^{j\theta} \Rightarrow z^* = re^{-j\theta}$$

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

20

## COMPLEX CONJUGATION



- Flips vector about the real axis!*

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

21

# USES OF CONJUGATION

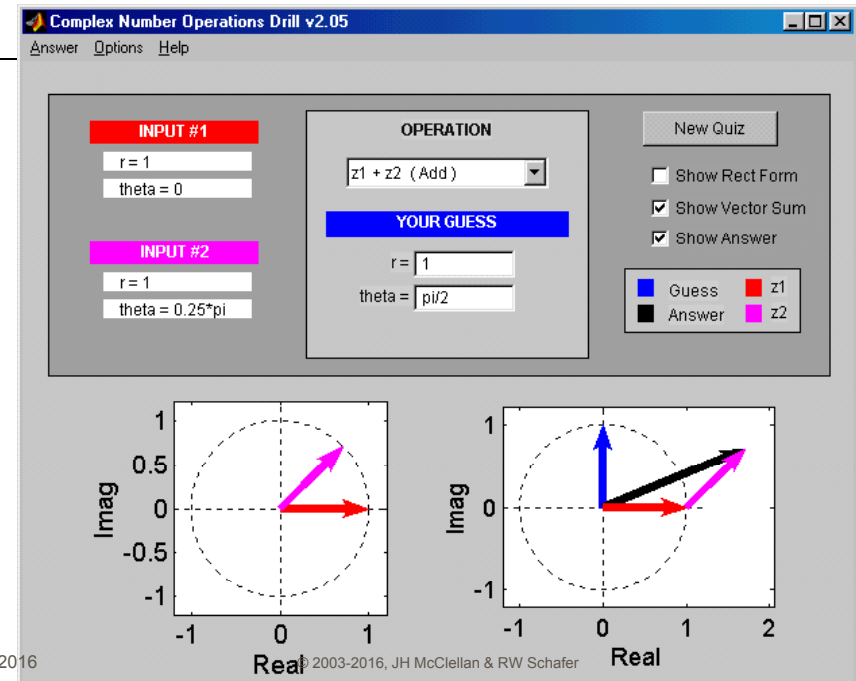
- Conjugates useful for many calculations
- Real part:

$$\frac{z + z^*}{2} = \frac{(x + jy) + (x - jy)}{2} = x = \Re\{z\}$$

- Imaginary part:

$$\frac{z - z^*}{2j} = \frac{j2y}{2j} = y = \Im\{z\}$$

# Z DRILL (Complex Arith)



# Inverse Euler Relations

- Cosine is real part of exp, sine is imaginary part
- Real part:

$$\frac{z + z^*}{2} = \Re\{z\}$$

$$z = e^{j\theta}, \Rightarrow \Re\{e^{j\theta}\} = \frac{e^{j\theta} + e^{-j\theta}}{2} = \cos(\theta)$$

- Imaginary part:

$$\frac{z - z^*}{2j} = y = \Im\{z\}$$

$$z = e^{j\theta}, \Rightarrow \Im\{e^{j\theta}\} = \frac{e^{j\theta} - e^{-j\theta}}{2j} = \sin(\theta)$$

# Mag & Magnitude Squared

- Magnitude Squared (polar form):

$$z z^* = (r e^{j\theta})(r e^{-j\theta}) = r^2 = |z|^2$$

- Magnitude Squared (Cartesian form):

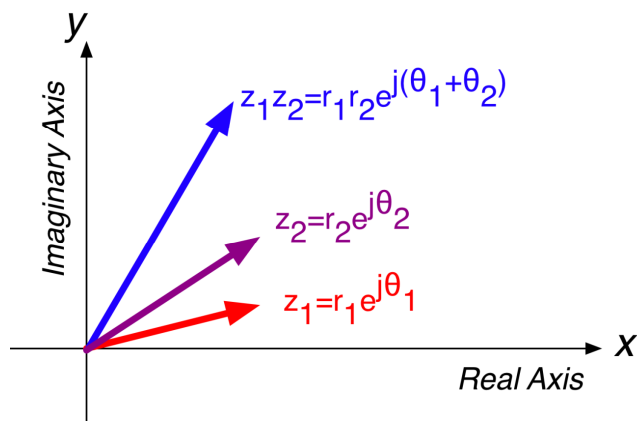
$$z z^* = (x + jy) \times (x - jy) = x^2 - j^2 y^2 = x^2 + y^2$$

- Magnitude of complex exponential is one:

$$|e^{j\theta}|^2 = \cos^2(\theta) + \sin^2(\theta) = 1$$

# COMPLEX MULTIPLY = VECTOR ROTATION

- Multiplication/division scales and rotates vectors



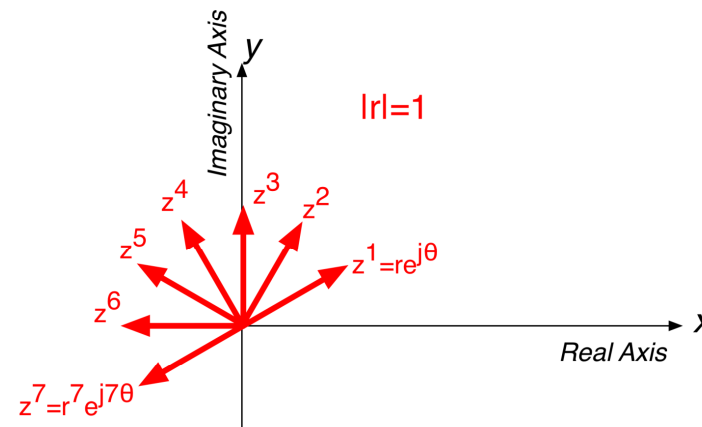
Aug 2016

© 2003-2016, JH McClellan & RW Schafer

26

# POWERS

- Raising to a power N rotates vector by Nθ and scales vector length by r<sup>N</sup>

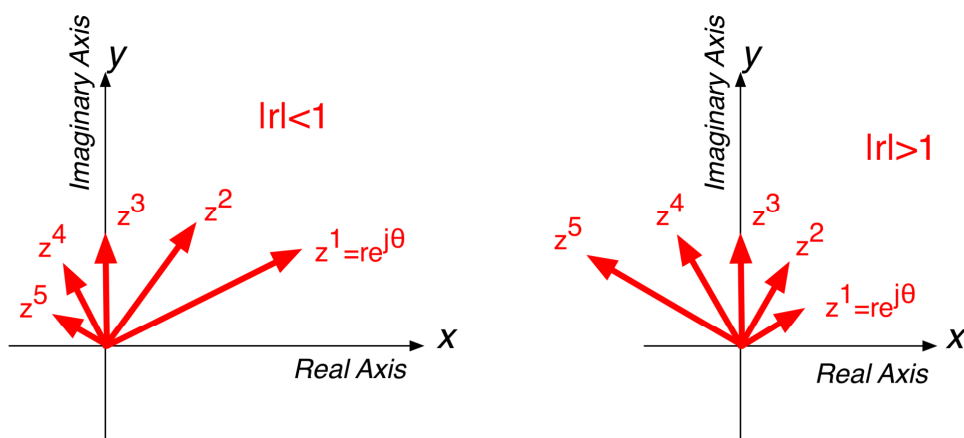


Aug 2016

© 2003-2016, JH McClellan & RW Schafer

27

# MORE POWERS



Aug 2016

© 2003-2016, JH McClellan & RW Schafer

28

# ROOTS OF UNITY

- We often have to solve  $z^N=1$
- How many solutions?

$$z^N = r^N e^{jN\theta} = 1 = e^{j2\pi k}$$

$$\Rightarrow r = 1, \quad N\theta = 2\pi k \Rightarrow \theta = \frac{2\pi k}{N}$$

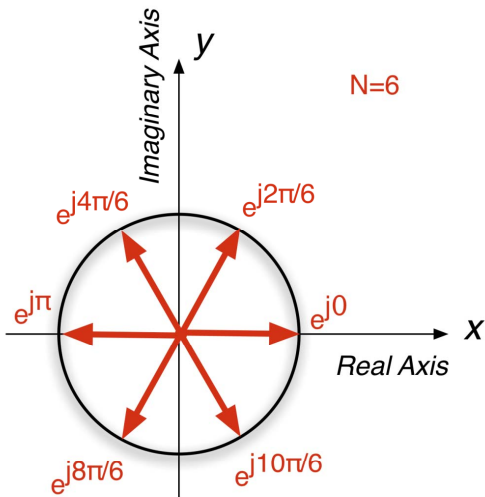
$$z = e^{j2\pi k/N}, \quad k = 0, 1, 2, \dots, N-1$$

Aug 2016

© 2003-2016, JH McClellan & RW Schafer

29

## ROOTS OF UNITY for N=6



- Solutions to  $z^N=1$  are N equally spaced vectors on the unit circle!
- What happens if we take the sum of all of them?

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

30

## Sum the Roots of Unity

- Looks like the answer is zero (for N=6)

$$\sum_{k=0}^{N-1} e^{j2\pi k/N} = 0?$$

- Write as geometric sum

$$\sum_{k=0}^{N-1} r^k = \frac{1-r^N}{1-r} \quad \text{then let } r = e^{j2\pi/N}$$

$$\text{Numerator } 1-r^N = 1-(e^{j2\pi/N})^N = 1-e^{j2\pi} = 0$$

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

31

## Integrate Complex Exp

- Needed later to describe periodic signals in terms of sinusoids (Fourier Series)
  - Especially over one period

$$\int_a^b e^{j\theta} d\theta = \frac{e^{j\theta}}{j} \Big|_a^b = \frac{e^{jb} - e^{ja}}{j}$$

$$\int_0^T e^{j2\pi t/T} dt = \frac{e^{j2\pi(T/T)} - e^{j0}}{j} = \frac{1-1}{j} = 0$$

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

32

## BOTTOM LINE

- **CARTESIAN**: Addition/subtraction is most efficient in Cartesian form
- **POLAR**: good for multiplication/division
- **STEPS**:
  - Identify arithmetic operation
  - Convert to easy form
  - Calculate
  - Convert back to original form

Aug 2016

© 2003-2016, JH McClellan & RW Schaffer

33